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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 10634-005001 | Application No. 10/072,128 |
| Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small> | | Applicant Ching Song et al. Filing Date February 8, 2002 Group Art Unit 1616 | | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | |
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| Examiner Initial | Desig. ID | Document |
| <i>BB</i> | AA | Clinton et al., "D-Homosteroids. I. Derivatives of D-Homoetiocholan-3 α -ol-11,17 α -dione", <u>Journal of the American Chemical Society</u> , 79:6475-6480 (1957). |
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| | AF | Gao et al., "A Novel Method for the Synthesis of a C/D-Ring Synthon of Vitamin D Derivatives From Hyodeoxycholic Acid", <u>Tetrahedron Letters</u> , 40(1):131-132 (1999). |
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| <i>BB</i> | AI | Song et al., "Auto-Oxidized Cholesterol Sulfates are Antagonistic Ligands of Liver X Receptors: Implications for the Development and Treatment of Atherosclerosis", <u>Steroids</u> , 66:409-422 (2001). |

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| Examiner Signature <i>P. Radico</i> | Date Considered <i>4/15/05</i> |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

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| | | Filing Date February 8, 2002 | Group Art Unit 1616 | | |
| PAPER STICKER 1017.CFR.37.98(b)) | | | | | |

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| BB | AF | GB 1 405 818 | 09/10/75 | Great Britain | — | — | | |
| BB | AG | GB 2 009 180 | 06/13/79 | Great Britain | — | — | | |

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| BB | AI | Boto et al., "Tandem b-Fragmentation-hydrogen Abstraction Reaction of Alkoxy Radicals in Steroid Systems", <u>Journal of Organic Chemistry</u> , 62(9):2975-2981 (1997). |
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| BB | AK | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, Citation No. 575886, BRN 45135, 41670, XP002284520. |
| BB | AL | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 1629436, XP002284521. |
| BB | AM | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 1355280, XP002284522. |
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| BB | AO | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 39425, XP002284524. |
| BB | AP | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 1272804, XP002284525. |
| BB | AQ | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 4723631, XP002284526. |
| BB | AR | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 6282221, XP002284527. |
| BB | AS | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 6781196, XP002284528. |

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| | | 107 CFR 1.56(b)(8)(b)) | | |

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| | AU | Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 7950623, XP002284530. |
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| <i>PB</i> | AMM | Witiak et al., "Inhibitors and Stimulators of Cholesterogenesis Enzymes", <u>Journal of Medicinal Chemistry</u> , 14(8):684-693 (1971). |

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| 1 | AN | 03/039480 | 05/15/2003 | WIPO | — | — |
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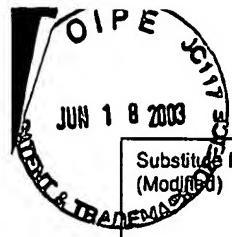
| Other Documents (include Author, Title, Date, and Place of Publication) | | |
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| 1 | AR | Makishima et al., "Identification of a Nuclear Receptor for Bile Acids", <u>Science</u> , Vol. 284, pp. 362-365 (1999). |
| BB | AS | Roda et al., "New 6-substituted bile acids: physico-chemical and biological properties of 6 α -methyl ursodeoxycholic acid and 6 α -methyl-7-epicholic acid", <u>J. Lipid Res.</u> , Vol. 35, pp. 2268-2279 (1994). |

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| | AI | | | | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| | AL | | | | | | |
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| Examiner Initial | Desig. ID | Document |
| | AQ | |
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Examiner Signature

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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 10634-005001 | Application No. 10/072,128 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Ching Song et al. | | |
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| Other Documents (include Author, Title, Date, and Place of Publication) | | |
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| Examiner Signature <i>Bardis</i> | Date Considered <i>4/15/05</i> |
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